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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: February 12, 2009

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/667,470
Filing Date	09/23/2003
First Named Inventor	Rajeev A. JAIN et al.
Art Unit	1614
Examiner Name	Brian Yong S. Kwon
Attorney Docket Number	029318-0972

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,298,262*	03/29/1994	Na et al.	
	A2	6,177,103	01/2001	Pace et al.	
	A3	5,133,908**	07/28/1992	Stainmesse et al.	
	A4	5,889,088***	03/30/1999	Kisuno et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code* Number* Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A5	*EP 0 600 532 A2	06/08/1994	Sterling Winthrop Inc.		
	A6	JP 6-227967	08/16/1994	Sterling Winthrop Inc.	*Eng. Abstract and U.S. and EP Equivalent	
	A7	WO 00/13672	03/16/2000	Nanosystems		
	A8	JP 63-240936	10/06/1988	CENTRE NAT RECH SCIENT	**U.S. Equivalent	
	A9	JP 09-271658	10/21/1997	HODOGAYA CHEM CO LTD.	***U.S. Equivalent and English Abstract	
	A10	WO 98/29098	07/09/1998	INHALE THERAPEUTIC SYSTEMS, INC.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A11	Notice of Rejections for Japanese Patent Application No. 2001-529425, dated Jan. 6, 2009, 5 pgs.	

Examiner Signature	/Brian Yong Kwon/	Date Considered	04/16/2009
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.Y./

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Sheet	2	of	2
		Attorney Docket Number	029318-0972

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A12	Calvo et al., "Development of Positively Charged Colloidal Drug Carriers: Chitosan-Coated Polyester nanocapsules and Submicron-Emulsions," Colloid. Polym. Sci., 275, pp. 46-53 (1997).	
	A13	Rock et al., "Control of Calcium Carbonate Particle Size and Shape by Precipitation from CTAB/Alcohol/Hexadecane Mixtures," Colloid. Polym. Sci., 275, pp. 893-896 (1997).	
	A14	Notice of Rejections for Japanese Patent Application No. 2001-583733, dated Jan. 6, 2009., 5 pgs.	
	A15	Notice of Rejections for Japanese Patent Application No. 2002-528199, dated Oct. 30, 2008, 3 pgs.	
	A16	Office Action dated Dec. 12, 2008 for related co-pending Application No. 12/078,027, 12 pgs.	

Examiner Signature	Date Considered
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